

04772 U.S. PTO
013004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper and the documents and/or fees referred to as attached therein are being deposited with the United States Postal Service on January 30, 2004 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number **ERS62285920US**, addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Attorney Docket No.:

SUN1P766/P040534

First Named Inventor: LOWE

Sue Funchess
Sue Funchess

17548 U.S. PTO
10/769586
013004

UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR. § 1.53(b))
(Regular application claiming priority of a provisional)

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir: This is a request for filing a patent application under 37 CFR. § 1.53(b) in the name of inventors:
ERIC E. LOWE

For: **METHOD AND APPARATUS FOR MEMORY MANAGEMENT IN A MULTI-PROCESSOR COMPUTER SYSTEM**

Assigned to: Sun Microsystems, Inc.

Priority of U.S. provisional application No. _____ (Attorney Docket No. SUN1P766P/P040534) filed on January 17, 2004 is claimed under 35 U.S.C. § 119(e).

☐ Amend the specification by inserting after the title the sentence: "This application claims priority of U.S. provisional application No. _____ filed on _____ which is hereby incorporated by reference."

Application Elements:

- ☒ **38** Pages of Specification, Claims and Abstract
☒ **12** Sheets of Informal Drawings
☒ **02** Pages of Combined Declaration and Power of Attorney
☐ Separate Declaration

Accompanying Application Parts:

- ☒ Do not publish this application. Nonpublication Request is attached.
☒ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)
☐ Separate Power of Attorney by Assignee
☐ 37 CFR 3.73(b) Statement by Assignee
☐ Information Disclosure Statement with Form PTO-1449
☐ Preliminary Amendment
☒ Return Receipt Postcard
- ☐ Copies of IDS Citations

Claim For Foreign Priority

- ☐ Priority of _____ Application No. _____ filed on _____
is claimed under 35 U.S.C. § 119.
- ☐ The certified copy is enclosed.
- ☐ The certified copy has been filed in prior application U.S. Application No. _____
- ☐ The certified copy will follow.

Fee Calculation (37 CFR § 1.16)

- ☐ Applicant is entitled to Small Entity Status under 37 C.F.R. §1.27.

| | (Col. 1) Total Claims | | (Col. 2) Claims | (Col. 3) Present Extra | Rate | Additional Fee |
|---|--------------------------|-------|--------------------|---------------------------|---------|----------------|
| TOTAL | 29 | MINUS | 20 | = 9 | x 18 = | \$162.00 |
| INDEP. | 6 | MINUS | 3 | = 3 | x 86 = | \$258.00 |
| [] First presentation of multiple dependent claim | | | | | \$290 = | |
| Basic Filing Fee under 37 C.F.R. §1.16(a) | | | | | \$770 = | \$770.00 |
| TOTAL | | | | | | \$1,190.00 |
| SMALL ENTITY 50% FILING FEE REDUCTION (if applicable) | | | | | | |

☒ Check No. 2444 in the amount of \$1,190.00 is enclosed in payment of basic filing fee (\$770), 9 extra total claims (\$162) and 3 extra independent claims (\$258). Check No. 2445 in the amount of \$40.00 is enclosed in payment of assignment recordation fee.

☒ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 500388 (Order No. SUN1P766).

General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicants hereby make and generally authorize any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-0388 (Order No. SUN1P766).

☒ Please send correspondence to the following address:

Customer Number 022434

022434



Francis T. Kalinski II
Registration No. 44,177

Date: January 30, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: LOWE

Attorney Docket No.:
SUN1P766/P040534

Application No.: Filed Herewith

Examiner: Unassigned

Filed: Herewith

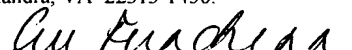
Group: Unassigned

Title: METHOD AND APPARATUS FOR
MEMORY MANAGEMENT IN A MULTI-
PROCESSOR COMPUTER SYSTEM

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Signed: _____


Sue Funchess

**NONPUBLICATION REQUEST AND CERTIFICATION
(35 U.S.C. 122(b)(2)(B)(i))**

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen (18) months after filing. I hereby request that the attached application **NOT** be published under 35 U.S.C. 122(b).

Date: January 30, 2004



Francis T. Kalinski II
Registration No. 44,177